

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

## PATENT APPLICATION EXAMINING OPERATIONS

JUL 1 0 2003

Technology Center 2600

**Applicant** 

: Sezan, et. al.

Group Art Unit:

2711

Serial No.

: 09/544,808

Examiner

Not Yet Assigned

Filed

: April 7, 2000

Title

: METHOD FOR SPECIFYING USER DESCRIPTIONS ALLOWING THE SPECIFICATION AND IDENTIFICATION OF MULTIPLE USER PREFERENCES AND HISTORY FOR DIFFERENT USAGE

**CONDITIONS** 

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157 June 30, 2003

Mail Stop Patent Applications (IDS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith one (1) sheet of Form PTO-1449 (Modified) listing the patents of which applicant is aware and which applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith is set forth on the attached 1 page of Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell

Attorney for Applicant

Tel: (503) 227-5631

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 1, 2003.

Dated: <u>July 1, 2003</u>

Kevin L. Russell

Q:\Bonnie Dillon\KLR\SHARP\IDS Supplement 7146.0066.wpd

| Application Number 09/354,808  Filing Date Sezan, et. al.  First Named Inventor Daly, et. al. RECEL  Group Art Unit 2711  Examiner Name Not Yet Assigned Technology Cell  Submission Attorney Docket Number  ENCLOSURES (check all that apply)  Fee transmittal Assignment Papers (for an application) Assignment Papers (for an application) After Final/ Response  After Final/ Response  After Allowance Communication to Board Appeal Communication to Board Appeals and Interferences Appeal Communication to Group After Final/ Response  Affidavits/Declaration(s) Licensing Related Papers Appeal Communication to Group Appeal Communication to Gro |  |  |  |
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| First Named Inventor    Compare   Co |  |  |  |
| First Named Inventor    Compare   Co |  |  |  |
| Group Art Unit  Examiner Name    Not Yet Assigned   JUL 1 0  |  |  |  |
| Total Number of Pages in this Submission  Attorney Docket Number  ENCLOSURES (check all that apply)  ENCLOSURES (check all that apply)  Assignment Papers (for an application)  Amendment Drawing(s)  After Final/ Response  Affidavits/Declaration(s)  Examiner Name  Attorney Docket Number  KLR:7146.0066  KLR:7146.0066  KLR:7146.0066  KLR:7146.0066  After Allowance Communication to Group  Appeal Communication to Boat of Appeals and Interferences  Appeal Communication to Group  Appeal Communication to Group  Appeal Communication to Group  Extension of Time Request  Petition Routing Slip (PTO/SB/69)  Proprietary Information   |  |  |  |
| Submission  ENCLOSURES (check all that apply)  ENCLOSURES (check all that apply)  Assignment Papers (for an application)  Amendment  After Final/ Response  Appeal Communication to Boa of Appeals and Interferences  Appeal Communication to Grown (Appeal Notice, Brief, Reply Brief)  Extension of Time Request  Petition Routing Slip (PTO/SB/69)  Proprietary Information   |  |  |  |
| ☐ Fee transmittal       ☐ Assignment Papers (for an application)       ☐ After Allowance Communication to Group         ☐ Amendment       ☐ Drawing(s)       ☐ Appeal Communication to Boar of Appeals and Interferences         ☐ Affidavits/Declaration(s)       ☐ Licensing Related Papers       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group         ☐ Appeal Communication to Group       ☐ Appeal Communication to Group  |  |  |  |
| □ Fee attached form application) to Group □ Amendment □ After Final/ Response □ Affidavits/Declaration(s) □ Licensing Related Papers □ Appeal Communication to Boa of Appeals and Interferences □ Appeal Communication to Group □ Appeal Communication to Group □ Appeal Communication to Group □ Appeal Notice, Brief, Reply Brief) □ Petition Routing Slip (PTO/SB/69) □ Proprietary Information   |  |  |  |
| ☐ After Final/ Response ☐ Affidavits/Declaration(s) ☐ Licensing Related Papers ☐ Appeal Communication to Gro (Appeal Notice, Brief, Reply Brief) ☐ Extension of Time Request ☐ Petition Routing Slip (PTO/SB/69) ☐ Proprietary Information   |  |  |  |
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| ☐ Extension of Time Request ☐ Petition Routing Slip (PTO/SB/69) ☐ Proprietary Information  |  |  |  |
| and Accompanying Petition  |  |  |  |
| ☐ Express Abandonment Request ☐ Petition to Convert to a Provisional ☐ Status Letter Application   |  |  |  |
| ☑ Supplemental Information       ☐ Power of Attorney, Revocation,       ☑ Additional Enclosures         Disclosure Statement       Change of Correspondence Address       (identify below)   |  |  |  |
| Certified copy of Priority  Documents  Certified copy of Priority  Terminal Disclaimer  Acknowledgment Postcard, form 1449 with 8 US patents and   |  |  |  |
| Response to Missing Parts/ Incomplete Application    Small Entity Statement   duplicate copy of this Transmittal Letter  |  |  |  |
| ☐ Response to Missing Parts ☐ Request for Refund   |  |  |  |
| Under 37 CFR 1.52 or 1.53  Remarks: Commissioner is authorized to charge any additional fee, credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT  |  |  |  |
| Firm or Individual Name Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP  |  |  |  |
| Signature  |  |  |  |
| Date July 1, 2003  |  |  |  |
| CERTIFICATE OF MAILING   |  |  |  |
| I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231  with sufficient postage as first class mail   |  |  |  |
| as "Express Mail Post Office to Addressee" - mailing label no.   |  |  |  |
| Type or print name Kevin L. Russell  Date July 1, 2003   |  |  |  |

Signature

| FORM PTO-1449 (Modified)  | ATTY. DOCKET N<br>KLR: 7146.0066 | SERIAL NO.<br>09/544,808 |
|---|----------------------------------|--------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION 0.7 2000 DISCLOSURE STATEMENT | APPLICANT<br>Sezan, et. al.      |                          |
| (Use several sheets if necessary) TRADERING   | FILING DATE<br>April 7, 2000     | GROUP<br>2711            |

REFERENCE DESIGNATION

| U.S. PATENT DOCUMENTS |    |                    |                |                   |       |          |                               |
|-----------------------|----|--------------------|----------------|-------------------|-------|----------|-------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER | DATE           | NAME              | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
| <del></del> -         | AA | 5,012,334          | April 30, 1991 | Etra              |       |          | _                             |
|                       | AB | 5,822,537          | Oct. 13, 1998  | Katseff, et. al.  |       | RE       | CEIVED                        |
|                       | AC | 5,835,087          | Nov. 10, 1998  | Herz, et. al.     |       | 1111     | 1 0 2003                      |
|                       | AD | 5,848,396          | Dec. 8, 1998   | Gerace            |       |          |                               |
|                       | AE | 5,977,964          | Nov. 2, 1999   | Williams, et. al. |       | Technol  | ogy Center 2600               |
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|                       | АН | US 6,298,482 B1    | Oct. 2, 2001   | Seidman, et. al.  |       |          |                               |
|                       | AI |                    |                |                   |       |          |                               |
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|                       | AK |                    |                |                   |       |          |                               |
|                       |    |                    |                |                   |       |          |                               |
|                       |    |                    |                |                   |       |          |                               |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|                                       |           | ВА                   | "Composite Capability/Preference Profiles (CC/PP): A User Side Framework For Content Negotiation," a work in progress, W3C Note 27 July 1999; this web site version: http://www.w3.org/1999/07/NQTE-CCPP-19990727/ |                           |  |  |
|---------------------------------------|-----------|----------------------|--|---------------------------|--|--|
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| EXAMINE                               | ER        | _                    | DATE C   | CONSIDERED                |  |  |
| EXAMINER conformance                  | : Initial | if refere<br>conside | ence considered, whether or not citation is in conformance with MPEP 609; Draw line to the copy of this form with next communication to applicant.   | hrough citation if not in |  |  |

Information Disclosure Statement -- PTO-1449 (Modified)
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